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## **Anatomy of the six-part all-partition array as used by Milton Babbitt**

*Preliminary efforts towards a computational method of automatic generation*

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# Anatomy of the Six-part All-partition Array as used by Milton Babbitt: Preliminary Efforts Towards a Computational Method of Automatic Generation

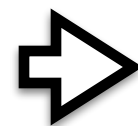
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RMA Music and Mathematics Study Day  
Saturday, 12 April, 2014

# Intention

- Research represents a preliminary effort at using computational methods to automatically generate and parse all-partition array structure.

- 
1. Formally define the internal structures of six-part, all-partition arrays.
  2. Provide a template representative of the organization of their pitch-class structure based on additional formalized constraints.
  3. Demonstrate the computational difficulties observed in initial attempts to automatically parse all-partition array structures.

# 1. Background

⇒ Some definitions...

What is an all-partition array?

|                     |               |                  |                 |
|---------------------|---------------|------------------|-----------------|
| Lyne $(x, \bar{x})$ | 2 / 3 10 11 9 |                  | 9               |
| Lyne $(\bar{x}, x)$ | 1 8           |                  | 8               |
| Lyne $(y, \bar{y})$ | 0             | 0 11 1 5         | 6               |
| Lyne $(\bar{y}, y)$ | 7 /           | 10 9 4 2 8 3 6 7 | 7               |
| Lyne $(z, \bar{z})$ | 6 5           |                  | 5 0 10 4 11 2 3 |
| Lyne $(\bar{z}, z)$ | 4 /           |                  | 1               |
|                     | $52^2 1^3$    | 84               | $71^5$          |

# All-combinatorial Hexachords

- All-combinatorial hexachords –

Let  $a$  be  $\{a_0, a_1, \dots, a_5\}$  then  $a$  is *all-combinatorial* iff

$$\exists w, x, y, z :$$

$$a \xrightarrow{P_w, I_x, R_y, RI_z} a \quad \text{ex. } \{0, 1, 2, 6, 7, 8\} \xrightarrow{P_6} \{6, 7, 8, 0, 1, 2\}$$

AND

$$\exists x : a \xrightarrow{I_x} \bar{a} \quad \text{ex. } \{0, 1, 2, 6, 7, 8\} \xrightarrow{I_5} \{5, 4, 3, 11, 10, 9\}$$

# Hexachordally Combinatorial Rows

- Hexachordally combinatorial rows,  $h$  –

Let  $A$  be  $(a_0, a_1, \dots, a_{11})$ , let  $B$  be  $(b_0, b_1, \dots, b_{11})$  and

Let  $a$  be  $\{a_0, a_1, \dots, a_5\}$ , let  $b$  be  $\{b_6, b_7, \dots, b_{11}\}$  then

$$A \ h \ B \text{ iff } a = b$$

# Integer Partition vs. Integer Composition

- In number theory, an **integer partition** is a way of representing an integer  $n$  as an unordered sum of positive integers.

When  $n = 12$

$$3 + 3 + 2 + 2 + 1 + 1 \equiv 2 + 3 + 2 + 1 + 3 + 1$$

- An **integer composition** is an *ordered* integer partition. In the above example, these would not be equivalent.
- In an all-partition array, we must include zero in many integer compositions. We call such instances, **weak integer compositions**.

When  $n = 12$

$$6 + 6 + 0 + 0 + 0 + 0 \neq 0 + 6 + 0 + 0 + 6 + 0$$

- All compositions can be trivially considered weak and are also infinitely so. In an all-partition array, these are **bounded** by part with the number of summands corresponding to the number of parts.

# 1. Background

✓ Some definitions...

⇒ What is an all-partition array?



|                     |               |                  |                 |
|---------------------|---------------|------------------|-----------------|
| Lyne $(x, \bar{x})$ | 2 / 3 10 11 9 |                  | 9               |
| Lyne $(\bar{x}, x)$ | 1 8           |                  | 8               |
| Lyne $(y, \bar{y})$ | 0             | 0 11 1 5         | 6               |
| Lyne $(\bar{y}, y)$ | 7 /           | 10 9 4 2 8 3 6 7 | 7               |
| Lyne $(z, \bar{z})$ | 6 5           |                  | 5 0 10 4 11 2 3 |
| Lyne $(\bar{z}, z)$ | 4 /           |                  | 1               |
| $52^2 1^3$          |               | 84               | $71^5$          |

...a twelve-tone structure organized into pairs of hexachordally combinatorial rows and then parsed into a sequence of discrete, vertical aggregates by distinct integer compositions.



# All all-partition arrays

- Organization based on the principle of  $h$ .
- Implicit feature of  $h$ -related rows that their pairing forms both linear and vertical aggregates, four in total.
- This structure in music theory is called an **array**.

|                    |  |   |  |
|--------------------|--|---|--|
|                    |  | $\{x\}$   |  |
|                    |  |   |  |
| Row $(x, \bar{x})$ |  | (11, 4, 3, 5, 9, 10, 1, 8, 2, 0, 7, 6)  |  |
| Row $(\bar{x}, x)$ |  | (6, 7, 0, 2, 8, 1, 10, 9, 5, 3, 4, 11)  |  |
|                    |  |  |  |
|                    |  | $\{x\}$   |  |

- A **type** of row refers to its hexachord content. A row of type  $(x, \bar{x})$  is constructed from a hexachord  $\{x\}$  and its complement  $\{\bar{x}\}$  and is of the same row type as all other  $(x, \bar{x})$  rows. When  $x \neq y$ , a row class contains rows of a different type  $(x, \bar{x})$  and  $(y, \bar{y})$ , however,  $(x, \bar{x}) \sim (y, \bar{y})$  under P, I, R, RI.
- The concatenation of linear aggregates (often but not necessarily) of the same row type is referred to as a **lyne**.

|                     |  |  |  |  |     |
|---------------------|--|--|--|--|-----|
|                     |  | $\overbrace{\hspace{10em}}^{\{x\}}$    |  | $\overbrace{\hspace{10em}}^{\{x\}}$    |     |
| Lyne $(x, \bar{x})$ |  | (11, 4, 3, 5, 9, 10, 1, 8, 2, 0, 7, 6) |  | (5, 4, 11, 9, 3, 10, 1, 2, 6, 8, 7, 0) | ... |
| Lyne $(\bar{x}, x)$ |  | (6, 7, 0, 2, 8, 1, 10, 9, 5, 3, 4, 11) |  | (0, 7, 8, 6, 2, 1, 10, 3, 9, 11, 4, 5) | ... |

- Lyne pairs are often distinguished from each other by register or in the case of pieces for ensemble, by instrument.

## Set-class membership of the D-hexachord

- The number of lines in an all-partition array is determined by the number of distinct members of its rows' constituent hexachords.
- A row class constructed from two D-hexachords will yield six row types of eight rows each for a total of 48 rows in its row class.

|   |                     |
|---|---------------------|
| A | (0, 1, 2, 3, 4, 5)  |
| B | (0, 2, 3, 4, 5, 7)  |
| C | (0, 2, 4, 5, 7, 9)  |
| D | (0, 1, 2, 6, 7, 8)  |
| E | (0, 1, 4, 5, 8, 9)  |
| F | (0, 2, 4, 6, 8, 10) |

|                 |                      |                   |                      |
|-----------------|----------------------|-------------------|----------------------|
| T <sub>0</sub>  | (0, 1, 2, 6, 7, 8)   | T <sub>0</sub> l  | (4, 5, 6, 10, 11, 0) |
| T <sub>1</sub>  | (1, 2, 3, 7, 8, 9)   | T <sub>1</sub> l  | (5, 6, 7, 11, 0, 1)  |
| T <sub>2</sub>  | (2, 3, 4, 8, 9, 10)  | T <sub>2</sub> l  | (0, 1, 2, 6, 7, 8)   |
| T <sub>3</sub>  | (3, 4, 5, 9, 10, 11) | T <sub>3</sub> l  | (1, 2, 3, 7, 8, 9)   |
| T <sub>4</sub>  | (4, 5, 6, 10, 11, 0) | T <sub>4</sub> l  | (2, 3, 4, 8, 9, 10)  |
| T <sub>5</sub>  | (5, 6, 7, 11, 0, 1)  | T <sub>5</sub> l  | (3, 4, 5, 9, 10, 11) |
| T <sub>6</sub>  | (0, 1, 2, 6, 7, 8)   | T <sub>6</sub> l  | (4, 5, 6, 10, 11, 0) |
| T <sub>7</sub>  | (1, 2, 3, 7, 8, 9)   | T <sub>7</sub> l  | (5, 6, 7, 11, 0, 1)  |
| T <sub>8</sub>  | (2, 3, 4, 8, 9, 10)  | T <sub>8</sub> l  | (0, 1, 2, 6, 7, 8)   |
| T <sub>9</sub>  | (3, 4, 5, 9, 10, 11) | T <sub>9</sub> l  | (1, 2, 3, 7, 8, 9)   |
| T <sub>10</sub> | (4, 5, 6, 10, 11, 0) | T <sub>10</sub> l | (2, 3, 4, 8, 9, 10)  |
| T <sub>11</sub> | (5, 6, 7, 11, 0, 1)  | T <sub>11</sub> l | (3, 4, 5, 9, 10, 11) |

- Discrete vertical presentations of aggregates are distinguished according to the partitioning of members from each lyne into segments.
- For an integer partition of  $2 + 2 + 2 + 2 + 2$ , its shorthand can be written as  $2^6$ , where the prime denotes segment length and exponent denotes parts.
- When the unordered segments in an integer partition are distributed by lyne, they become ordered and thus form an integer composition.

One possible composition sequence

|                     |       |               |     |
|---------------------|-------|---------------|-----|
| Lyne $(x, \bar{x})$ | 11 4  | 3 5           | ... |
| Lyne $(\bar{x}, x)$ | 6 7   | 0 2 8         | ... |
| Lyne $(y, \bar{y})$ | 5 6   | 11 1 7        | ... |
| Lyne $(\bar{y}, y)$ | 2 9   | 10            | ... |
| Lyne $(z, \bar{z})$ | 0 5   | 4 6           | ... |
| Lyne $(\bar{z}, z)$ | 1 8   | 9             | ... |
|                     | $2^6$ | $3^2 2^2 1^2$ |     |

# One possible block

|                     |               |                  |                 |            |             |           |              |       |
|---------------------|---------------|------------------|-----------------|------------|-------------|-----------|--------------|-------|
| Lyne $(x, \bar{x})$ | 2 / 3 10 11 9 |                  | 9               | 9 5 4 1    | 1 6 0 2     | 2         | 2 7          | 7 8   |
| Lyne $(\bar{x}, x)$ | 1 8           |                  | 8               | 8 6 0 7 10 | 10          | 11 3 5    | 5            | 4 9   |
| Lyne $(y, \bar{y})$ | 0             | 0 11 1 5         | 6               |            |             | 6         | 6 9 4 10 8 3 | 3 2   |
| Lyne $(\bar{y}, y)$ | 7 /           | 10 9 4 2 8 3 6 7 | 7               | 11         | 11          | 1         | 0            | 0 5   |
| Lyne $(z, \bar{z})$ | 6 5           |                  | 5 0 10 4 11 2 3 | 3          |             | 7 9 8     | 1            | 1 10  |
| Lyne $(\bar{z}, z)$ | 4 /           |                  | 1               | 2          | 7 9 3 8 5 4 | 4 0 10    | 11           | 11 6  |
|                     | $52^2 1^3$    | 84               | $71^5$          | $541^3$    | $641^2$     | $3^3 1^3$ | $621^4$      | $2^6$ |

- A **block** is the presentation of the aggregate by all lynes.
- A six-part array contains 58 distinct integer partitions into eight blocks.
- Musically, there are just as many ways of articulating block boundaries as obscuring them. Nonetheless, block boundaries signal both the commencement of new rows and salient structural divisions.

92

96

*p* *ppp* *sul pont.* *ord.*

*p* *ff*

*f* *ff* *f* *ff* *f*



Lynes 1, 2



Lynes 3, 4



Lynes 5, 6

Integer compositions...

$$2^6 \quad | \quad 6^2 \quad |$$

# Intention

- Research represents a preliminary effort at using computational methods to automatically generate and parse all-partition array structure.
- ✓ 1. Formally define the internal structures of six-part, all-partition arrays.
  - ➡ 2. Provide a template representative of the organization of their pitch-class structure based on additional formalized constraints.
  3. Demonstrate the computational difficulties observed in initial attempts to automatically parse all-partition array structures.

## 2. The Anatomy

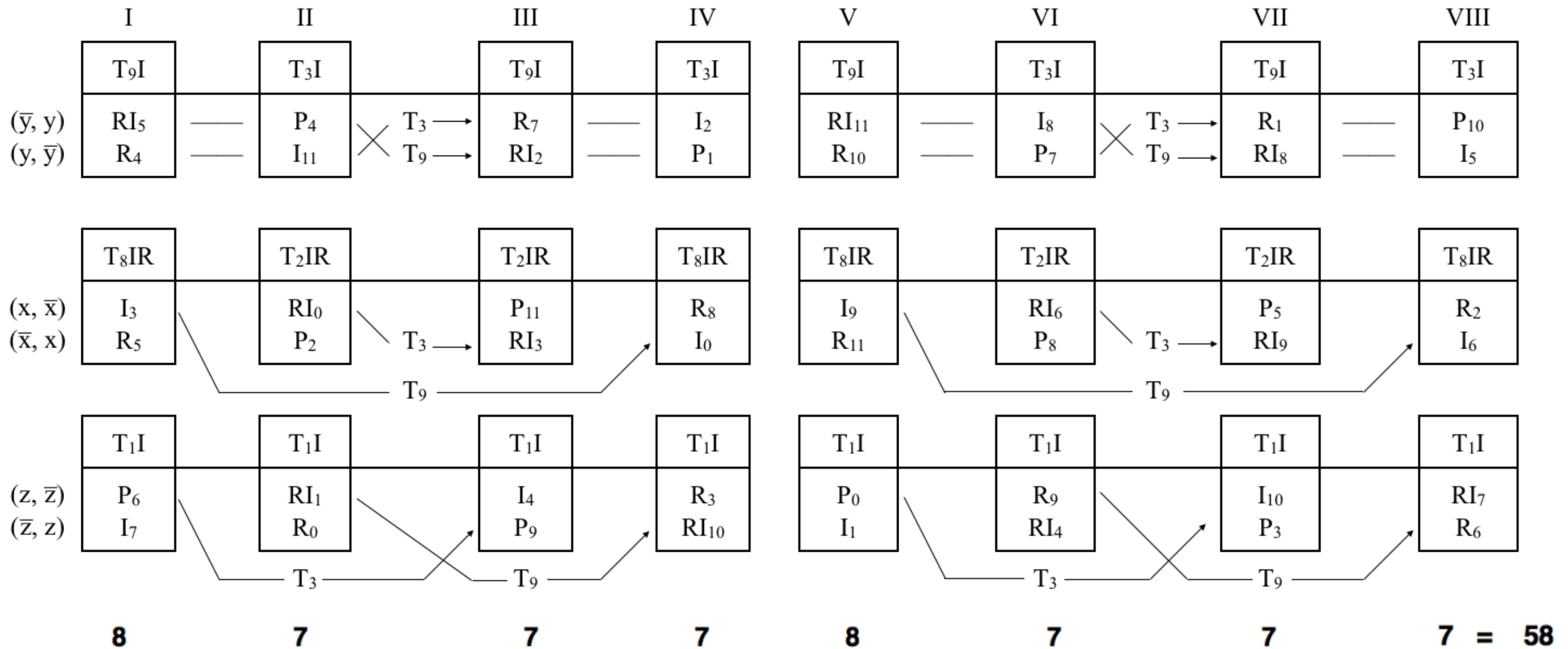
- Reductionist approach.
- Concerned less with musical nuance and more with finding internal parts and how these are organized to produce a unified whole.
- A formal description of these parts will allow for the use of computational methods in analyzing current pieces and producing different pieces with the same type of structure.



# Some Constraints of Six-part Arrays Types

- Both the **Babbitt array type** and **Smalley array type** fulfill the following basic criteria...
    1. Each lyne contains rows of the same type.
    2. Lyne pairs are  $h$  related.
    3. All rows are distinct and appear once i.e. *hyper-aggregate*.
    4. Row classes are divided into two  $T_6$  related **sections**, each containing 24 rows.
  - ...But differ structurally by how  $h$ -related rows are consistently paired.
    5. A Babbitt array: Four distinct  $k$ -combinations where  $r = 2$  (excluding two combinations)
- A Smalley array: Six distinct  $k$ -combinations where  $r = 2$

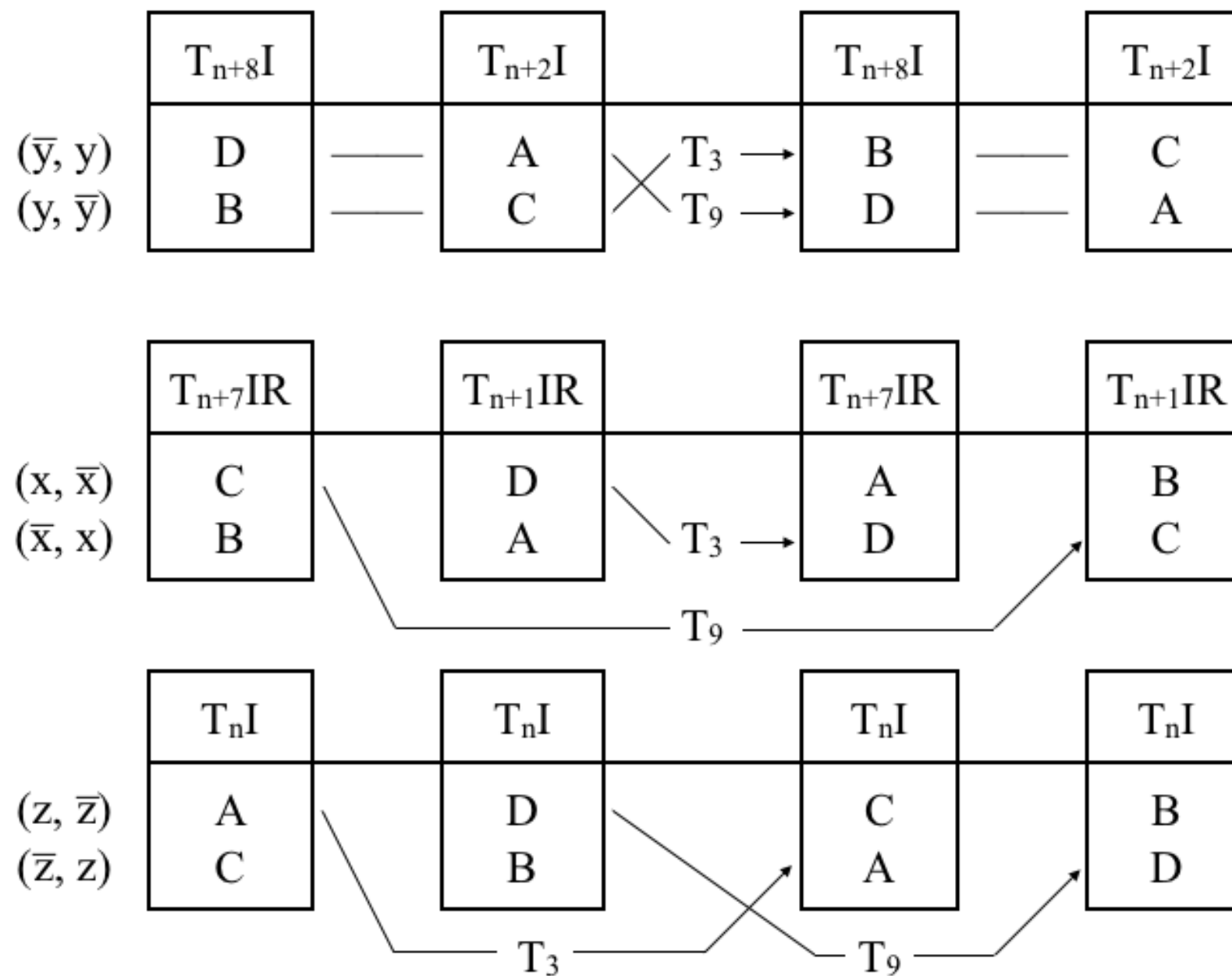
# Babbitt Array Type as Found in Babbitt's *About Time*



Complement Transformations  $T_3$  and  $T_9$  and integer partitions below

# Template Sufficient to Describe All Babbitt Array Types

$$\{A, B, C, D\} = \{P, I, R, RI\}$$



Found also in Babbitt's Arie da Capo, Tableaux, Playing for Time, and others (all based on different permutations for P0).

$$\{\{x, y\} : x \in \{A, B\}, y \in \{C, D\}\}$$

|        |        |        |        |
|--------|--------|--------|--------|
| D<br>B | A<br>C | B<br>D | C<br>A |
| C<br>B | D<br>A | A<br>D | B<br>C |
| A<br>C | D<br>B | C<br>A | B<br>D |

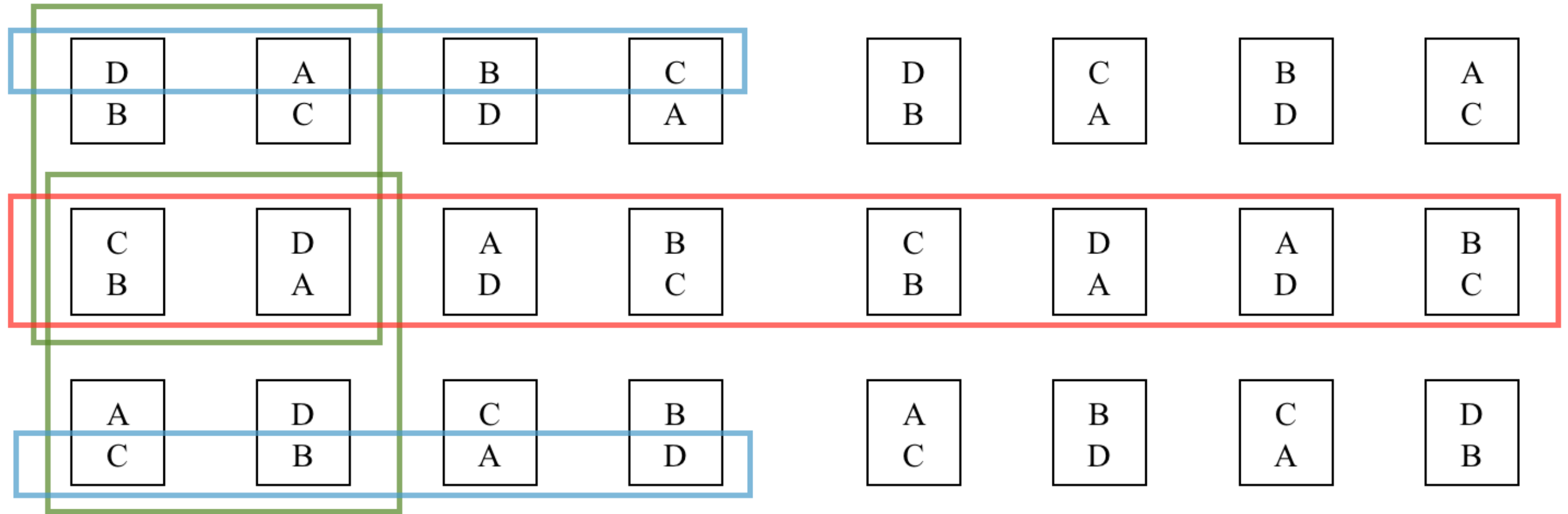
Row pairing constraints by lyne

$$\{\{x_0, y_0\} : x_0 \neq y_0 \wedge (x_0 \in \{A, C\}, y_0 \in \{A, C\}) \wedge (x_0 \in \{B, D\}, y_0 \in \{B, D\})\}$$

$$\{\{x_1, y_1\} : x_1 \neq y_1 \wedge (x_1 \in \{A, D\}, y_1 \in \{A, D\}) \wedge (x_1 \in \{B, C\}, y_1 \in \{B, C\})\}$$

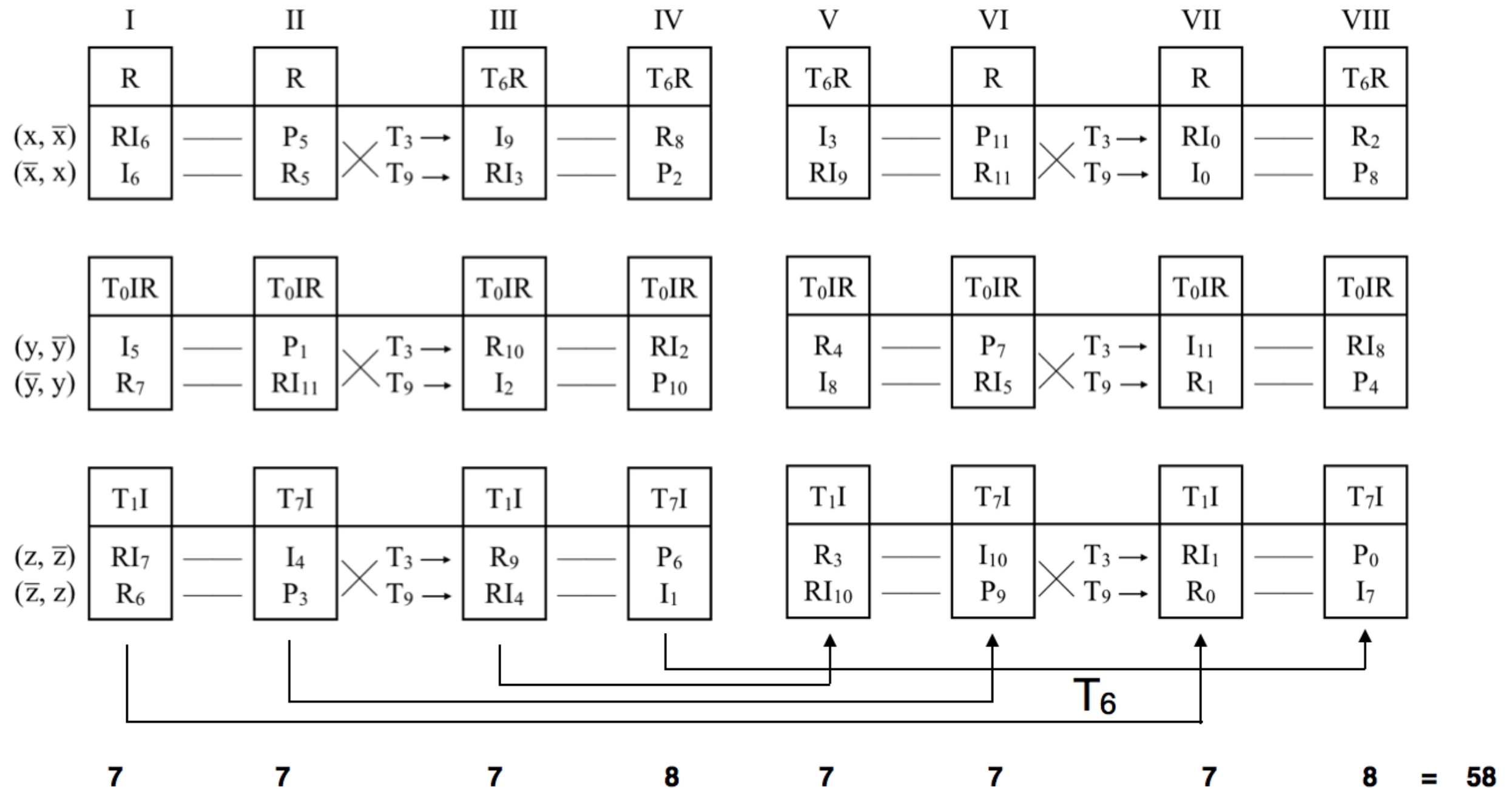
$$\{\{x_2, y_2\} : x_2 \neq y_2 \wedge (x_2 \in \{A, C\}, y_2 \in \{A, C\}) \wedge (x_2 \in \{B, D\}, y_2 \in \{B, D\})\}$$

# Constraints in Sections



Four Distinct  $k$ -combinations (excluding  $\{A,B\}$  and  $\{C,D\}$ ),  
Non-distinct permutations, Retrograde permutations

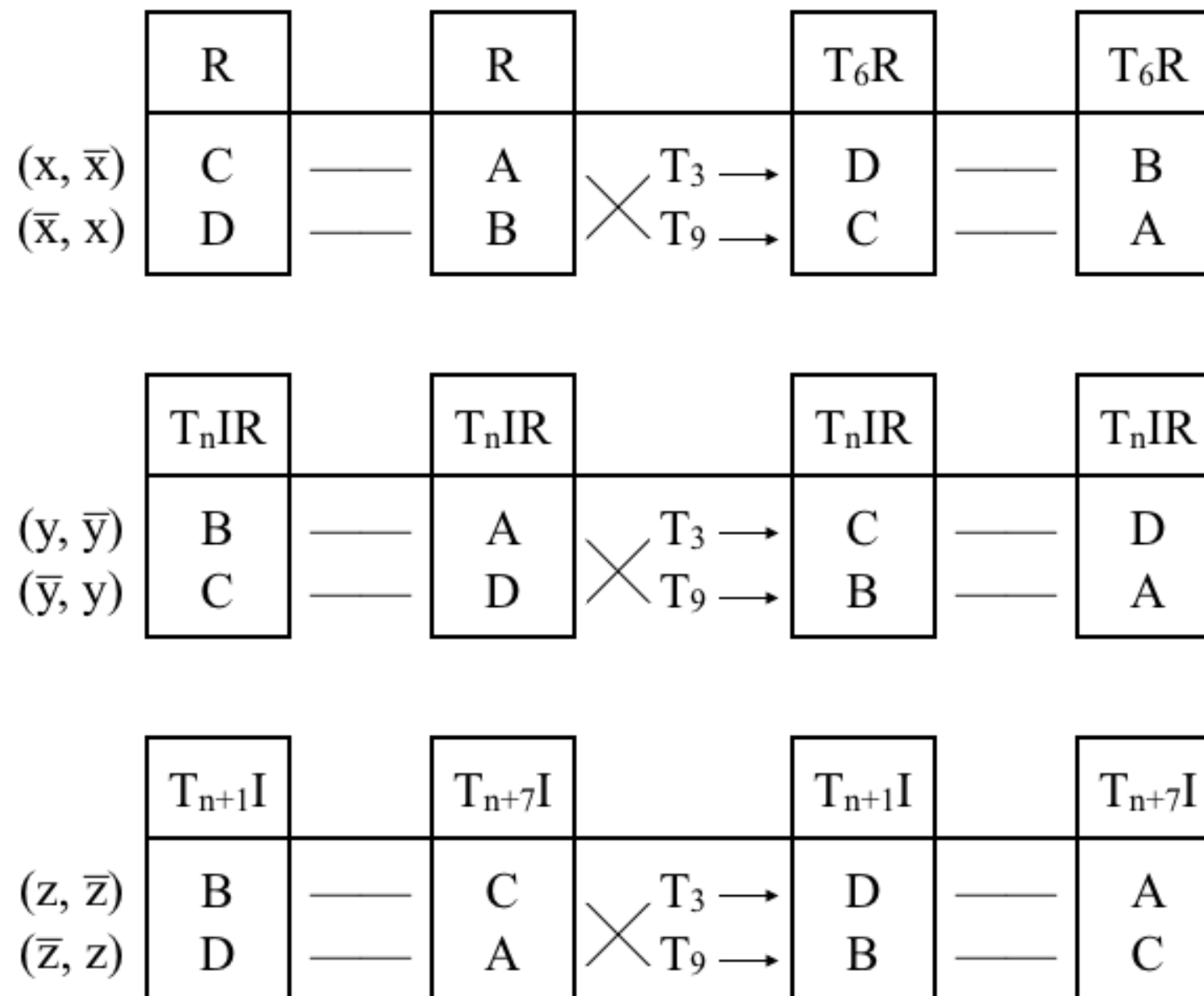
# Smalley Array Type as Found in Babbitt's *Sheer Pluck*



Complement Transformations,  $T_3$  and  $T_9$  and integer partitions below

# Template Sufficient to Describe All Smalley Array Types

$$\{A, B, C, D\} = \{P, I, R, RI\}$$



Found also in Babbitt's Joy of More Sextets (translated with reordered lynes and lyne pairs) and Groupwise (with different sequence of integer partitions).

$$\{\{x, y\} : x \neq y \wedge \{x, y\} \subset \{A, B, C, D\}\}$$

|        |        |        |        |
|--------|--------|--------|--------|
| C<br>D | A<br>B | D<br>C | B<br>A |
| B<br>C | A<br>D | C<br>B | D<br>A |
| B<br>D | C<br>A | D<br>B | A<br>C |

Row pairing constraints by lyne

$$\{\{x_0, y_0\} : x_0 \neq y_0 \wedge (x_0 \in \{A, B\}, y_0 \in \{A, B\}) \wedge (x_0 \in \{C, D\}, y_0 \in \{C, D\})\}$$

$$\{\{x_1, y_1\} : x_1 \neq y_1 \wedge (x_1 \in \{A, D\}, y_1 \in \{A, D\}) \wedge (x_1 \in \{B, C\}, y_1 \in \{B, C\})\}$$

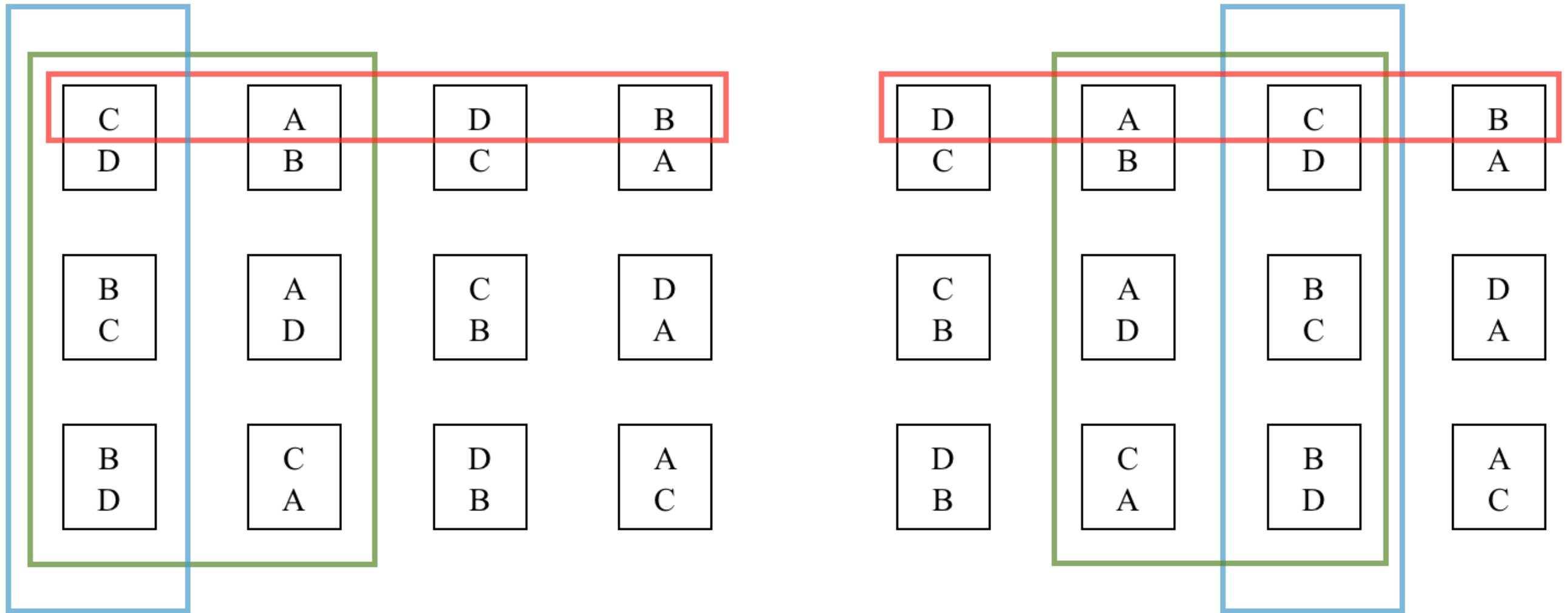
$$\{\{x_2, y_2\} : x_2 \neq y_2 \wedge (x_2 \in \{A, C\}, y_2 \in \{A, C\}) \wedge (x_2 \in \{B, D\}, y_2 \in \{B, D\})\}$$

Lyne pair pairing constraints by block

$$\{\{p, q\} : p \neq q \wedge p = \{x_0, y_0\} \cup \{x_1, y_1\} \cup \{x_2, y_2\} \nexists A, q = \{x_0, y_0\} \cup \{x_1, y_1\} \cup \{x_2, y_2\}\}$$



# Constraints in Sections



Six Distinct *k*-combinations, Distinct permutations,  
Non-distinct blocks

# Intention

- Research represents a preliminary effort at using computational methods to automatically generate and parse all-partition array structure.
- ✓ 1. Formally define the internal structures of six-part, all-partition arrays.
  - ✓ 2. Provide a template representative of the organization of their pitch-class structure based on additional formalized constraints.
  - ➡ 3. Demonstrate the computational difficulties observed in initial attempts to automatically parse all-partition array structures.

# 3. Parsing the Pitch-class Structure

- Automating the organization of pitch-class structure is relatively straightforward. Parsing it however, is not a computationally trivial problem to solve.
- Babbitt used only two distinct sequences of integer compositions (one for each type), why?

# Possible Combinations

- The difficulty in parsing this structure can be demonstrated by constructing a formula that determines the distinct number of possible combinations of internal structure = number of calculations required of a program.
- Given constraints 1–3...

$$\begin{array}{ccccc}
 \text{partition sequences} & & \text{within lyne pair ordering} & & \text{D-hexachord rows} \\
 \underbrace{\phantom{p! \cdot (s!)^p}} & & \underbrace{\phantom{(c! + (c+1)!)} & & \underbrace{\phantom{(r!)^c}} \\
 p! \cdot (s!)^p \cdot (c! + (c+1)!) \cdot (r!)^c \cdot t \\
 \underbrace{\phantom{(s!)^p}} & & \underbrace{\phantom{(c! + (c+1)!)} & & \\
 \text{composition combinations} & & h\text{-relation pairings}
 \end{array}$$

where  $p$  is the number of required integer partitions,  $s$  is the number of lynes,  $c$  is the number of lyne pairs ( $s/2$ ),  $r$  is the number of rows in a given row type, and  $t$  is a constant of the number of distinct rows built from a D-hexachord ( $6! \cdot 6!$ ).

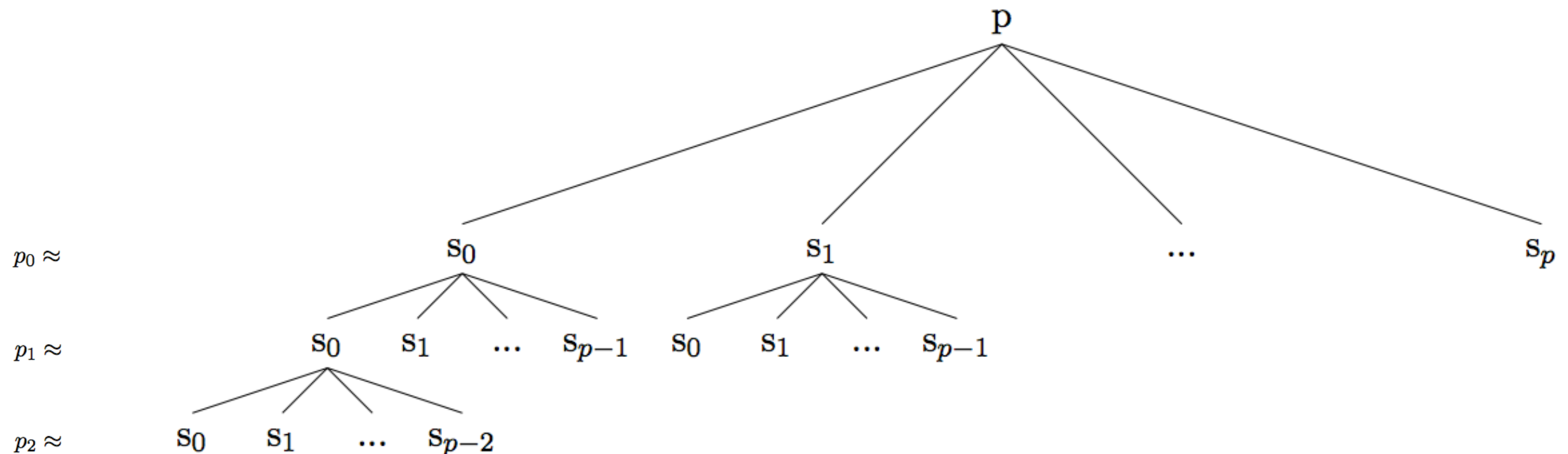
- With the appropriate values assigned for six-part all-partition array...

$$58! \cdot (6!)^{58} \cdot (3! + (3 + 1)!) \cdot (8!)^3 \cdot 518,400$$

$$n \approx 1.27 \cdot 10^{265}$$

- The value of  $n$  is far beyond intractable and the culprits are obviously the terms  $(6!)^{58}$  and  $58!$

# Brute force search for possible successful integer compositions in *Sheer Pluck*



Where  $p$  is the number of integer partitions, 58,  $p_x$  is the ordinal position of a given partition of  $p$ , and  $s$  is a successful integer composition.

# Questions for Future Research

- Are there additional constraints in the pitch-class structure that will limit the number of calculations required in finding successful integer compositions?
  - Yes, there must be. Pitch-class repetition? Type inform sequence of compositions?
- Is it even possible to generate *all* distinct all-partitions that exist?
  - Probably not. Greedy algorithm and heuristics?

# Acknowledgements

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